## Cloud connectivity and protocols for the Internet of Things

Nordic Tech Webinar

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### Practicalities

- Duration: 50-60 mins
- Questions are encouraged!
- Please type questions in the top of the right sidebar
- All questions are anonymous
- Try to keep them relevant to the topic
- We will answer them towards the end
- The chat is not anonymous, and should not be used for questions
- Go to DevZone if you have more questions
- A recording of the webinar will be available together with the presentation at webinars.nordicsemi.com



## Agenda

- Application data
- Data protocols
- Transport protocols
- How to measure data usage
- Wireless radio protocols
- Power consumption
- Summary
- Ways to your first proof-of-concept

## Application data



#### Source

What you see here is a typical configuration for cellular IoT devices.

## The four kinds of data

- Device State
- Device Configuration
- Past Data
- Firmware Updates



## 1. Device State

- sensor readings (like position, temperature)
- information about its health (like battery level)

Because the latest state should be immediately visible: buffer data in a *Digital Twin*.

A device needs to send its sensor readings (like position, temperature) and information about its health to the backend, first an foremost is the battery level a critical health indicator. This data is considered the device state.

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firmware updates

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Topics

Because we want to always be able to quickly see the latest state of the device, a digital twin can be used to store this state on the backend side: whenever the device sends an update, the digital twin is updated. This allows an application to access the most recent device state immediately without needing to wait for the device to connect and publish its state.



It is an important criterion for the robustness of any IoT product to gracefully handle situations in which the device is not connected to the internet. It might even not be favorable to be connected all the time—wireless communication is relatively expensive consumes a lot of energy and therefore increases the power consumption.

To optimize for ultra-low power consumption, we want to turn off the modem as quickly as possible and keep it off as long as possible.

This can be achieved by making the device smart and allowing it to decide based on the situation whether it should try to send data.

For example could an asset tracker use the motion sensor to decide whether to publish its state frequently or if it detects no movement for a while go into a passive mode, where it turns of the modem and waits until it detects movement again. It could also use the internal clock to wake up every hour to sent a heartbeat, after all we might want to know that the device is healthy, even it is not in motion.

## 2. Device Configuration

- change behaviour of device in real time (e.g. sensor sensititiy, timeouts)
- configure physical state (e.g. *locked* state of a door lock)



Depending on the product we might also want to change the device configuration. This could on the one hand be use during development to tweak the aforementioned behavior using variables instead of pushing a new firmware over the air to the device. We observe firmware sizes of around 250 KB which will, even when compressed, be expensive because it will take a device some time to download and apply the updated, not to mention the costs for transferring the firmware update over the cellular network. Especially in NB-IoT-only deployments is the data rate low. Updating a fleet of devices with a new firmware involves orchestrating the roll-out and observing for faults. All these challenges lead to the need to be able to **configure the device**, which allows to tweak the behavior of the device until the inflection point is reached: battery life vs. data granularity. Interesting configuration options are for example the sensitivity of the motion sensor: depending on the tracked subject what is considered "movement" can vary greatly. Various timeout settings have an important influence on power- and data-consumption: the time the device waits to acquire a GPS fix, or the time it waits between sending updates when in motion.

On the other hand is device configuration needed if the device controls something: imaging a smart lock which needs to manipulate the state of a physical lock. The backend needs a way to tell the device which state that lock should be in, and this setting needs to be persisted on the backend, since the device could lose power, crash or otherwise lose the information if the lock should be open or closed. Here again is the digital twin used on the cloud side to store the latest desired configuration of the device immediately, so the application does not have to wait for the device to be connected to record the configuration change. The implementation of the digital twin then will take care of sending only the latest required changes to the device (all changes since the device did last request its configuration are combined into one change) thus also minimizing the amount of data which needs to be transferred to the device.

## 3. Past Data

Cellular IoT devices need to send data about past events: they will be offline most of the time.

## 3. Past Data



#### Source

Imagine a reindeer tracker which tracks the position of a herd. If position updates are only collected when a cellular connection can be established there will be an interesting observation: the reindeers are only walking along ridges, but never in valleys. The reason is not because they don't like the valley, but because the cellular signal does not reach deep down into remote valleys. The GPS signal however will be received there from the tracker because satellites are high on the horizon and can send their signal down into the valley.

There are many scenarios where cellular connection might not be available or unreliable but reading sensors work. Robust ultra-mobile IoT products therefore must make this a normal mode of operation: the absence of a cellular connection must be treated as a temporary condition which will eventually resolve and until then business as usual ensues. This means devices should keep measuring and storing these measures in a ring-buffer or employ other strategies to decide which data to discard once the memory limit is reached.

Once the device is successfully able to establish a connection it will then (after publishing its most recent measurements) publish past data in batch.

On a side note: the same is true for devices that control a system. They should have built-in decision rules and must not depend on an answer from a cloud backend to provide the action to execute based on the current condition.

### 4. Firmware Updates

- 2-3 magnitudes larger than a control message (~250 KB)
- notification via control channel (MQTT)
- download via data channel (HTTP): less overhead, supports resume

Arguably a firmware update over the air can be seen as configuration, however the size of a typical firmware image (250 KB) is 2-3 magnitudes larger than a control message. Therefore it can be beneficial to treat it differently. Typically an update is initiated by a configuration change, once acknowledged by the device will initiate the firmware download. The download itself is done out of band using not MQTT but HTTP(s) to reduce overhead.

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firmware updates

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Topics

Additionally firmware updates are so large compared to other messages that the device may suspend all other operation until the firmware update has been applied to conserve resources.

## Summary: Application data

- great potential for optimization
- initiating and maintaining network connection is magnitudes more expensive compared to other device operations (for example reading a sensor value)
- invest a substantial amount into optimizing these when developing an ultra-low power product

It's these messages that are exchanged between your devices and your backend which are the most important aspect to optimize for when developing an ultra-low power product because initiating and maintaining network connection is relatively expensive compared to other device operations (for example reading a sensor value).

It is therefore recommended to invest a substantial amount of time to revisit the principles explained here and customize them to your specific needs. The more the modem-uptime can be reduced and the smaller the total transferred amount of data becomes, the longer your battery will last.

# Data protocols

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l r	Data protocols
	<ul> <li>JSON</li> </ul>
	<ul> <li>Alternatives to JSON</li> </ul>
	Flatbuffers
	CBOR

Let's look at the "default" protocol for encoding Application data and what alternatives exist to reduce the amount of data needed to transmit a typical device message: a GPS location.

## { { "v": { "lng": 10.414394, "lat": 63.430588, "acc": 17.127758, "alt": 221.639832, "spd": 0.320966, "hdg": 0 }, "ts": 1566042672382 }

"default" data protocol for IoT on "ecommerce" cloud vendors (<u>AWS, Azure, Google Cloud</u>)

▲ human readable
 ▲ schema-less (self-describing)

💎 overhead

GPS location message	
{	
"v": {	02 36 01 37 51 4b 73 2b
"lng": 10.414394,	d4 24 40 09 68 06 f1 81
"arr": 17.127758.	1d b7 4f 40 11 68 cd 8f
"alt": 221.639832,	bf b4 20 31 40 19 e6 5d
"spd": 0.320966,	f5 80 79 b4 6b 40 21 1a
"hdg": 0	30 48 fa b4 8a d4 3f 29
}, "ts": 1566042672382	00 00 00 00 00 00 00 00
}	09 00 e0 cf ac f6 c9 76
	42
ISON: 114 bytes (without newlines)	Protocol Buffers: 65 bytes (-42%)
	source

Consider this GPS message. It contains a lot of data which is intended for humans, but not needed for machines sending or receiving the data.

The pure binary message would be transmitting only the 6 floats and 1 integer of the message. However a strucured message format is always preferred because we also want to ensure it's integrity.

In JSON notation this document (without newlines) has 114 bytes. If the message were to be transferred using for example Protocol Buffers the data can be encoded with only 65 bytes (a 42% improvement).

See also: RION Performance Benchmarks

## E Nordic Semiconductor Flatbuffers google.github.io/flatbuffers evolution of Protocol Buffers access a buffer without parsing smaller library, <u>C implementation exists</u> wire format size a little bigger compared to Protocol Buffers schema-less (self-describing) messages are supported NOT supported in Zephyr/NCS

In the comparison on the previous slide we showed how using Protocol Buffers can dramatically reduce the transferred data size, while keeping a typed message.

The implementation of Protocol Buffers is however quite big (for a resource constrained device like the nRF9160), and no official encoder/decoder implementation exists for C, inofficial does.

Flatbuffers is the best candidate with similar data savings.

Especially the ability to access members of a message directly in place makes it ideal for memory-constrained devices: no need to create a second copy of the received values.

It also offers flexibility during development is also supported because FlatBuffers offers a schema-less (self-describing) version.

Unfortunately there is no official support in the nRF Connect SDK or Zephyr as of now.

## CBOR

#### <u>cbor.io</u>

- maps JSON to binary structures
- zero configuration needed between exchanging parties
- support in Zephyr (<u>tinycbor</u>)

Therefore the best alternative to JSON right now is CBOR.

CBOR is standard for encoding JSON data in a set of binary structures. It reduces volume by using more compact one byte values to replace two or more punctuation marks.

Official support is available in Zephyr.

CBOR: example	
GPS location message	
{	
"v": {	A2 61 76 A6 63 6C 6E 67
"lng": 10.414394,	FB 40 24 D4 2B 73 4B 51
"lat": 63.430588,	37 63 6C 61 74 FB 40 4F
"acc": 17.127758,	B7 1D 81 F1 06 68 63 61
"alt": 221.639832,	63 63 FB 40 31 20 B4 BF
"spd": 0.320966,	8F CD 68 63 61 6C 74 FB
"hdg": O	40 6B B4 79 80 F5 5D E6
},	63 73 70 64 FB 3F D4 8A
"ts": 1566042672382	B4 FA 48 30 1A 63 68 64
}	67 00 62 74 73 1B 00 00
	01 6C 9F 6A CC FE
JSON: 114 bytes (without newlines)	CBOR: 86 bytes (-24%)

This shows the possible savings when encoding the GPS location message using CBOR.

## Summary: Data protocols

Look into denser data protocols!

#### JSON is for Humans.

- devices always<sup>™</sup> send the same structure: no need to transmit it
- less data to send
  - less money spent on data (grows linear with № of devices)
  - less energy consumed = longer device lifetime
  - lower chance of failed transmit

## Transport protocols

## Transport protocols

- MQTT+TLS
- MQTT-SN+(D)TLS
- CoAP/LWM2M+(D)TLS

## MQTT+TLS

common protocol for "ecommerce" cloud vendors (AWS, Azure, Google Cloud)

- great fit for asynchronous, event oriented communication: MQTT is bidirectional pub/sub model
- overhead:
  - topic name in every MQTT package
     № of topics per device: ~3
  - TLS handshake with AWS IoT broker: ~10 KB
- Supported out of the box in nRF Connect SDK

MQTT with TLS is the default protocol when using IoT offerings from "ecommerce" cloud vendors like Amazon, Microsoft or Google. It's a great fit for the event-driven communication in IoT and allows both sides to initiate communication.

However the protocol overhead for both MQTT and TLS are substantial: the initial handshake is large, and then every MQTT package contains repeated information. The MQTT topic name is quite long (typical size is around 60 Byte), which could actually be omitted.

MQTT-SN+(D)TLS <u>MQTT-SN 1.2 Specification</u> • optimized version designed specifically loT • supports UDP • use numeric IDs instead of strings for topic names • better offline support • not supported out of the box in nRF Connect SDK			
<ul> <li>MQTT-SN 1.2 Specification</li> <li>optimized version designed specifically IoT</li> <li>supports UDP</li> <li>use numeric IDs instead of strings for topic names</li> <li>better offline support</li> <li>not supported out of the box in nRF Connect SDK</li> </ul>	MQT	T-SN+(D)TLS	
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<ul> <li>not supported by cloud vendors: needs a (stateful) Gateway</li> </ul>	<ul> <li>not</li> </ul>	supported by cloud vendors: needs a (stateful) Gateway	

MQTT-SN was specifically designed for IoT devices and tries to address the issues mentioned earlier.

The main differences involve:

Reducing the size of the message payload

Removing the need for a permanent connection by using UDP as the transport protocol.

## CoAP/LWM2M+(D)TLS

- common protocol in Telco clouds (Verizon's Thingspace, AT&T's IoT Platform)
- typically used for device management (carrier library)
- support in nRF Connect SDK (<u>CoAP client sample</u>, <u>LwM2M client sample</u>)
- not supported by cloud vendors: needs a (stateful) Gateway.
   Proof-of-concept AWS IoT-LwM2M Gateway: <u>github.com/coderbyheart/leshan-aws</u>

This protocol is mostly used for device management. Especially LwM2M comes with a large set of predefined operations (e.g. firmware update) and uses very lightweight messaging. It also supports UDP out of the box which makes it an ideal protoco for resource constraint devices.

However there is no out-of-the box support by ecommerce cloud vendors, so here again one needs to operate a Gateway.

## How to measure data usage



One of the biggest cost factors when operating a cellular IoT product are data transfers. Not only are prices for IoT connectivity multiple magnitudes more expensive to what we are used from smartphone contracts, but transmitting data also requires a lot of energy. The longer the devices needs to transmit a payload the more likely it is also that the connection deteriorates (especially when the device is moving) and re-transmits need to happen. Therefore it is important to pay close attention to the amount of data your product is sending from the beginning. Having knowledge about the data usage profile of your application at hand also becomes important when picking the right connectivity partner.

While it is possible to infer a device's data consumption on the terminating endpoint, this information is not accurate, because it can observe successfully incoming messages. It can also become challenging to cover all endpoints, for example Firmware over the Air updates are typically downloaded via HTTPs from a web server and not through MQTT.

## Enable connectivity statistics

Use AT%XCONNSTAT=1 to tell the modem to start collecting connectivity statistics

```
#include <modem/at_cmd.h>
int err = at_cmd_write("AT%XCONNSTAT=1", NULL, 0, NULL);
if (err != 0) {
    printk("Could not enable connection statistics, error: %d\n", err);
}
```

```
Read current connectivity statistics
Use AT%XCONNSTAT? to read the current connectivity statistics
   static struct k delayed work connstat work;
   static int query_modem(const char *cmd, char *buf, size_t buf_len) { ... }
   static void connstat_work_fn(struct k_work *work)
   {
       query_modem("AT%XCONNSTAT?", connStatBuffer, sizeof(connStatBuffer));
       // NOTE: k_uptime_get_32() cannot hold a system uptime time
       // larger than approximately 50 days
       printk("Connection stats: %s | Uptime: %d seconds\n",
          connStatBuffer, k_uptime_get_32() / 1000);
       // Schedule next run
       k_delayed_work_submit(&connstat_work, K_SECONDS(60));
   }
   k_delayed_work_init(&connstat_work, connstat_work_fn);
   k_delayed_work_submit(&connstat_work, K_SECONDS(60));
You can see a full diff of how I added this to one of my applications here.
```

#### Connectivity statistics output

Connection stats: %XCONNSTAT: 0,0,14,16,748,134 | Uptime: 5041 seconds

#### Syntax

%XCONNSTAT: <SMS Tx>,<SMS Rx>,<Data Tx>,<Data Rx>,<Packet max>,<Packet average>

- 1. SMS Tx: total number of SMSs successfully transmitted
- 2. SMS Rx: total number of SMSs successfully received
- 3. Data Tx: total amount of data (in kilobytes) transmitted
- 4. Data Rx: total amount of data (in kilobytes) received
- 5. Packet max: maximum packet size (in bytes) used
- 6. Packet average: average packet size (in bytes) used

Now you have access to the connectivity statistics, and can for example publish this to the cloud every hour so you can collect precise data consumption usage from your devices. Together with the uptime information collected on the device you will be able to develop a very good understand of what the typical data usage per day, week and month will be for your devices.

See this blog post: https://devzone.nordicsemi.com/nordic/cellular-iot-guides/b/software-and-protocols/posts/monitoring-nrf9160-data-usage-with-connectivity-statistics

## Caution

Do not use the connectivity statistics in applications which use the <u>LwM2M</u> <u>carrier library</u>.

This library manages the collection of connectivity statistics and will turn them on and off on its behalf. If your application interferes with this statistics collection it will result in incorrect measurements in the carrier's device management solution.

## Wireless radio protocols



The nRF9160 supports two cellular networking protocols: LTE-M and NB-IoT. Fundamentally they both provide IP connectivity to your device, however they are significant differences, which are important to consider when developing your IoT product.

See this comparison

#### LTE-m

- 375 kbps downlink, 300 kbps uplink
- ~100 kbps application throughput running IP
- supports roaming (same as LTE)
- typically uses frequency bands above 2 Ghz
- ms-latency

LTE-M (also known as Cat-M1) is designed for low power applications requiring medium throughput. It has a narrower bandwidth of 1.4 MHz compared to 20 MHz for regular LTE, giving longer range, but less throughput. The throughput is 375 kbps downlink and 300 kbps uplink, providing approximately 100 kbps application throughput running IP. It is suitable for TCP/TLS end-to-end secure connections. Mobility is fully supported, using the same cell handover features as in regular LTE. It is currently possible to roam with LTE-M, meaning it is suitable for applications that will operate across multiple regions. The latency is in the millisecond range offering real time communication for time-critical applications.

## NB-Iot

- 60 kbps downlink, 30 kbps uplink
- typically uses frequency bands below 2 Ghz
- no roaming support (some Telcos do offer custom solution)
- good indoor/underground penetration characteristics
- long range

NB-IoT (also known as Cat-NB1) is a narrowband technology standard that does not use a traditional LTE physical layer, but is designed to operate in or around LTE bands and coexist with other LTE devices. It has a bandwidth of 200 kHz, giving it longer range and lower throughput compared to LTE-M and regular LTE. The throughput is 60 kbps downlink and 30 kbps uplink. It is suitable for static, low power applications requiring low throughput.

## Comparison

#### LTE-m

for medium throughput applications requiring low power, low latency and/or mobility

- asset tracking
- wearables
- medical
- POS
- home security

#### NB-IoT

for static, low throughput applications requiring low power and long range

- smart metering
- smart agriculture
- smart city

LTE-M is perfect for medium throughput applications requiring low power, low latency and/or mobility, like asset tracking, wearables, medical, POS and home security applications.

NB-IoT is perfect for static, low throughput applications requiring low power and long range, like smart metering, smart agriculture and smart city applications. It also provides better penetration in, for example, cellars and parking garages compared to LTE-M.



Low power operation is key for many IoT devices Application protocols on cellular devices like the nRF9160 Master thesis on the subject

## My master thesis research

"Power Consumption modeling of TCP and UDP over low power cellular networks for a constrained device"

- TCP represented by MQTT
- UDP represented by CoAP
- Tested both protocols over NB-IoT and LTE-M, using the nRF9160.
- Used the data to empirically model the power consumption of the device.

Last spring, before starting at Nordic

Titled ..., where I compared TCP and UDP over LTE-M and NB-IoT using the nRF9160 Used the data to model energy consumption given parameters like payload size and transmission interval

Will talk about findings and observations

#### Experiment setup

Components:

- Hardware: nRF9160DK v0.8.5
- SDK: v1.2.0
- Measurement unit: Otii ARC
- Network provider: Telenor LTE-M and NB-IoT

Firmware:

- One application for MQTT and one for CoAP (available on <u>GitHub</u>)
- Long PSM interval with regular transmissions and increasing payload
- Average sleep current ~200µA
- Power measurement setup will be more thoroughly covered in our webinar the 9<sup>th</sup> of December.

Quick runthrough

nRF9160DK version 0.8.5

nRF Connect SDK version 1.2

Otii ARC for current measurement

The Norwegian network provider Telenor and their LTE-M and NB-IoT networks

Two applications

**Regular transmissions** 

Both using the Power Saving Mode feature defined for LTE-M and NB-IoT.

The PSM specification allows for a device to initiate transmissions during the defined PSM interval.

A long PSM interval was used to avoid other wakeups than those initiated by regular transmissions.

Did not focus on optimizing the power consumption performance of the applications.

Rather wanted to focus on how the protocols performed with relation to eachother.

### Energy consumption factors

Application protocols

- Establishment of connection
- Especially relevant for TCP
- Acknowledgements
- Payload size
- Protocol defined limits
- Maximum transmission unit (MTU)
- Maximum segment size (MSS)

Cellular network

- Connection
- RRC inactive timer
- Reception quality
- Additional parameters see the Online Power Profiler

Short summary of important factors affecting the energy consumption of a cellular device

Connection establishment is relevant on application protocol level and for cellular networks

TCP has persistent connection, requiring more traffic

To enable reliable communication acknowledgements are important.

They lead to unpredictable behavior as well as more traffic

There are many limits imposed by different protocols

MTU from IP and Ethernet

MSS on TCP to avoid IP fragmentation

Connecting to the cellular network is costly. PSM lowers the cost. With PSM the devices stays active during an RRC inactive countdown consuming power. (network defined)

Test and read about additional parameters in our online power profiler



Example diagram of a transmission. Exits PSM and reconnects

Transmits

Stays active.

Last part is active timer. Only relevant if you expect data to be received.

Not used in my research.



Example transmissions for MQTT over LTE-M and NB-IoT.

Notice where the transmission is finished and inactive countdown starts.

Very different on the LTE-M and NB-IoT

LTE-M has higher peaks and more frequent activity, which in turn affects the power consumption.





Averaged out plots for energy used on transmission

Dashed line – with RRC inactive

Solid line – without RRC inactive energy

The RRC inactive energy is dependent on network provider and was therefore not considered

Notice how much it contributes to the total energy consumed

NB-IoT is linearly dependent on payload size.

LTE-M, with higher capacity, is not. Within the tested payload size range.

Some outliers for TCP over LTE-M resulting in energy consumption spikes. Restarting of RRC inactive timer due to activity

CoAP in general use less energy than MQTT.

Mentioned MSS earlier. These results evidently shows how this affects energy consumption

For MQTT on both LTE-M and NB-IoT there is an increase in consumed power after payload exceeds ~500 bytes

The base MSS is 536 bytes.

Note at last that there is a starting cost to every transmission.



Violin plots showing variation and distribution of measurements, based on residuals from regression analysis.

Small for NB-IoT More spurious for LTE-M



Plot of transmission time. The dashed line shows how long the device stays active in total

LTE-M is as expected faster than NB-IoT.

Not a strong correlation for any of them with payload size

Notice that the MSS affects time used on transmission aswell



Violin plots of variation and distribution of transmission time measurements

NB-IoT is wide and latent.

LTE-M especially for CoAP has a low variation.

#### Important observations

#### Application protocols

- MQTT
- TCP is not ideal for low power applications.
- MQTT is a popular and well supported protocol.
- CoAP
  - Less overhead compared to MQTT
  - Enables reliable UDP
  - Not that popular

#### Cellular standards

- LTE-M
  - High speed, high capacity
  - Power consumption not correlated with payload size
  - More "spurious" energy consumption
- NB-IoT
- Slow, low capacity
- Energy consumption is linearly dependent with payload size

Finalizing the observations.

MQTT is well supported, but TCP is not ideal for low power use. We saw more spurious timing and energy consumption

CoAP has less overhead, supports reliability, but is not very popular(yet?)

LTE-M and NB-IoT are as expected suited for different purposes.

LTE-M saw higher peak currents as well as some spurious behavior during the inactive countdown.

When transmitting large amounts of data on a network that provides a short inactive countdown LTE-M can actually outperform NB-IoT in terms of power consumption.

Due to the linear dependency of NB-IoT with relation to payload size.

Brings me to my last point:

## Transfer large amounts of data rarely...

...rather than small amounts often.



## Summary

- no silver bullet multiple *conflicting* dimensions need to be considered
- highly depends on use case scenario
- ultra-low power relevant in all scenarios

## Ways to your first proof-of-concept

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Ways to your first proof-of-concept	
<ul> <li>nRF Connect for Cloud</li> </ul>	
<ul> <li>Bifravst</li> </ul>	

Now, if you want to get started with developing your cellular IoT product here are some resources...



nRF Connect for Cloud is an integral part of you cellular IoT development workflow. We have made nRF Connect for Cloud simple to use, yet powerful and efficient when getting your cellular designs and products connected.



*Bifravst* aims to provide a concrete end-to-end example for an ultra-low power IoT product in the asset tracker space, namely a *Cat Tracker*.

*Bifravst* enables the developers to set up a real world IoT solution using the respective cloud provider and adapt the example firmware and software quickly for a specific use case.

*Bifravst* aims to provide answers and recommend best practices to the following questions :

How can you connect Nordic's cellular IoT chips to your cloud provider?

How do devices send data into the cloud?

How can the data be sent to the devices?

How can users and other services interact with the devices?

How can you update the application firmware of your devices while they are deployed in the field?

How can you develop a cellular IoT product that maximizes battery life, minimizes data usage, and handles unreliable connectivity gracefully?



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